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| FORM PTO-1449   | Atty. Docket No.:<br>I19.12-0010 | Serial No.:<br>09/382,433 |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION<br>DISCLOSURE STATEMENT | Applicant:                       |                           |
|   | Stark et al.                     |                           |
|   | Filing Date                      | Group Art:                |
|   | August 25, 1999                  | 3733                      |

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial | Document<br>No. | Date      | Name     | Class              | Sub<br>Class | Filing Date<br>If Appropriate |
|---------------------|-----------------|-----------|----------|--------------------|--------------|-------------------------------|
| df                  | AA              | 3,521,623 | 07-28-70 | Nichols et al.     | 128          | 78                            |
| df                  | AB              | 3,667,457 | 06-06-72 | Giovanni Zumaglini | 128          | 75                            |
|                     | AC              | 3,734,087 | 05-22-73 | Sauer et al.       | 128          | 64                            |
|                     | AD              | 3,866,604 | 02-18-75 | Curless et al.     | 128          | 64                            |
|                     | AE              | 4,039,039 | 08-02-77 | Max Gottfried      | 128          | 87                            |
|                     | AF              | 4,135,503 | 01-23-79 | Nicholas A. Romano | 128          | 78                            |
|                     | AG              | 4,178,923 | 12-18-79 | James D. Curlee    | 128          | 78                            |
|                     | AH              | 4,256,094 | 03-17-81 | Kapp et al.        | 128          | 24                            |
|                     | AI              | 4,270,527 | 06-02-81 | Peters et al.      | 128          | 87                            |
|                     | AJ              | 4,331,133 | 05-25-82 | Edward J. Arkans   | 128          | 87                            |
| df                  | AK              | 4,375,217 | 03-01-83 | Edward J. Arkans   | 128          | 24                            |
| df                  | AL              | 4,396,010 | 08-02-83 | Edward J. Arkans   | 128          | 24                            |

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|    | Document No. | Date         | Country | Class        | Sub<br>Class | Translation<br>Yes No |
|----|--------------|--------------|---------|--------------|--------------|-----------------------|
| df | AM           | 7806-327     | 12/79   | Netherlands  |              | Abstract              |
| df | AN           | SU 1380747-A | 3/88    | Soviet Union |              | Abstract              |
| df | AO           | SU 1750681-A | 7/92    | Soviet Union |              | Abstract              |

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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| df | AP | 1994 Thera-Kinetics Product literature. |
|    | AQ |   |

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|---------------------|-----------------|-----------|----------|----------------------|--------------|-------------------------------|
| <i>dp</i>           | BA              | 4,408,599 | 10-11-83 | Thomas A. Mummert    | 128          | 24                            |
|                     | BB              | 4,419,988 | 12-13-83 | Thomas A. Mummert    | 128          | 24                            |
|                     | BC              | 4,586,495 | 05-06-86 | Petrosky             | 128          | 82.1                          |
|                     | BE              | 4,590,925 | 05-27-86 | Richard S. Dillion   | 128          | 24                            |
|                     | BF              | 4,604,098 | 08-05-86 | Seamone et al.       | 623          | 60                            |
|                     | BG              | 4,621,620 | 11-11-86 | Gene Anderson        | 128          | 25                            |
|                     | BH              | 4,645,199 | 02-24-87 | Brent J. Bloomendaal | 272          | 73                            |
|                     | BI              | 4,653,479 | 03-31-87 | Donald D. Mauer      | 128          | 25                            |
|                     | BJ              | 4,697,808 | 10-06-87 | Larson et al.        | 272          | 70                            |
|                     | BK              | 4,757,453 | 07-12-88 | Roger E. Nasiff      | 364          | 415                           |
| <i>dp</i>           | BL              | 4,762,134 | 08-09-88 | Jeffrey Gala         | 128          | 781                           |

## FOREIGN PATENT DOCUMENTS

|  | Document No. | Date | Country | Class | Sub<br>Class | Translation<br>Yes No |
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|  | BM           |      |         |       |              |                       |
|  | BN           |      |         |       |              |                       |
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|---------------------|-----------------|-----------|----------|--------------------|--------------|-------------------------------|
| dp                  | CA              | 4,801,138 | 01-31-89 | Airy et al.        | 272          | 130                           |
|                     | CB              | 4,825,852 | 05-02-89 | Genovese et al.    | 128          | 25                            |
|                     | CC              | 4,828,257 | 05-09-89 | Dyer et al.        | 272          | 129                           |
|                     | CD              | 4,858,620 | 08-22-89 | Sugarman et al.    | 128          | 774                           |
|                     | CE              | 4,863,157 | 09-05-89 | Mendel et al.      | 272          | 73                            |
|                     | CF              | 4,913,163 | 04-03-90 | Roger et al.       | 128          | 782                           |
|                     | CG              | 4,934,694 | 06-19-90 | James L. McIntosh  | 272          | 129                           |
|                     | CH              | 4,944,288 | 07-31-90 | John Rawcliffe     | 128          | 25                            |
|                     | CI              | 5,012,820 | 05-07-91 | Niels Meyer        | 128          | 782                           |
|                     | CJ              | 5,031,604 | 07-16-91 | John F. Dye        | 128          | 64                            |
|                     | CK              | 5,050,618 | 09-24-91 | Lawrence E. Larson | 128          | 774                           |
| dp                  | CL              | 5,052,375 | 10-01-91 | Stark et al.       | 128          | 25                            |

## FOREIGN PATENT DOCUMENTS

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| CN |              |      |         |       |              |                       |
| CO |              |      |         |       |              |                       |

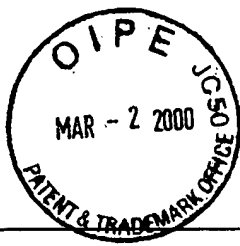
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|   | Stark et al.                     |                           |
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| Examiner<br>Initial | Document<br>No. | Date     | Name                 | Class | Sub<br>Class | Filing Date<br>If Appropriate |
|---------------------|-----------------|----------|----------------------|-------|--------------|-------------------------------|
| DA                  | 5,052,379       | 10-01-91 | Airy et al.          | 128   | 80           |                               |
| DB                  | 5,116,296       | 05-26-92 | Watkins et al.       | 482   | 91           |                               |
| DC                  | 5,121,747       | 06-16-92 | Brian Andrews        | 128   | 423          |                               |
| DD                  | 5,186,163       | 02-16-93 | John F. Dye          | 128   | 64           |                               |
| DE                  | 5,218,954       | 06-15-93 | Paul S. van Bemmelen | 128   | 24           |                               |
| DF                  | 5,239,987       | 08-31-93 | Kaiser et al.        | 128   | 25           |                               |
| DG                  | 5,255,188       | 10-19-93 | George Telepko       | 364   | 413.2        |                               |
| DH                  | 5,307,791       | 05-03-94 | Senoue et al.        | 601   | 9            |                               |
| DI                  | 5,360,392       | 11-01-94 | D. Barry McCoy       | 602   | 6            |                               |
| DJ                  | 5,391,141       | 02-21-95 | Josef N. Hamilton    | 602   | 151          |                               |
| DK                  | 5,396,896       | 03-14-95 | David M. Tumey       | 128   | 690          |                               |
| DL                  | 5,437,610       | 08-01-95 | Cariapa et al.       | 601   | 152          |                               |

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|    | Document No. | Date | Country | Class | Sub<br>Class | Translation<br>Yes No |
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| DM |              |      |         |       |              |                       |
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Sheet 5 of 5

|   |                                  |                           |
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| EA                  | 5,437,617       | 08-01-95 | Heinz et al.      | 602   | 19           |                               |
| EB                  | 5,443,440       | 08-22-95 | Tumey et al.      | 601   | 152          |                               |
| EC                  | 5,462,504       | 10-31-95 | Trulaske et al.   | 482   | 7            |                               |
| ED                  | 5,484,389       | 01-16-96 | Stark et al.      | 601   | 34           |                               |
| EE                  | 5,520,622       | 05-28-96 | Bastyr et al.     | 602   | 16           |                               |
| EF                  | 5,558,627       | 09-24-96 | Singer et al.     | 602   | 13           |                               |
| EG                  | 5,569,120       | 10-29-96 | Anjanappa et al.  | 482   | 4            |                               |
| EH                  | 5,713,841       | 02-03-98 | Richard A. Graham | 602   | 32           |                               |
| EI                  | 5,827,209       | 10-27-98 | Clifford M. Gross | 602   | 19           |                               |
| EJ                  | 5,823,975       | 10-20-98 | John G. Stark     | 600   | 595          |                               |
| EK                  |                 |          |                   |       |              |                               |
| EL                  |                 |          |                   |       |              |                               |

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|    | Document No. | Date | Country | Class | Sub<br>Class | Translation<br>Yes No |
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| EM |              |      |         |       |              |                       |
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|---------------------|-----------------|----------|----------------------|-------|--------------|-------------------------------|
| AA                  | 4,909,262       | 03-20-90 | Halpern et al.       | 128   | 774          |                               |
| AB                  | 5,078,152       | 01-07-92 | Bond et al.          | 128   | 774          |                               |
| AC                  | 5,090,421       | 02-25-92 | Earl V. Wagoner, III | 128   | 774          |                               |
| AD                  | 5,186,163       | 02-16-93 | John F. Dye          | 128   | 64           |                               |
| AE                  |                 |          |                      |       |              |                               |
| AF                  |                 |          |                      |       |              |                               |

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| AG |              |      |         |       |              |                       |
| AH |              |      |         |       |              |                       |
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| AJ        |                          |
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| Examiner Initial | Document No. | Date    | Name                | Class | Sub Class | Filing Date If Appropriate |
|------------------|--------------|---------|---------------------|-------|-----------|----------------------------|
| AA               | 2,832,334    | 04/1958 | Stephen H. Whitelaw | 128   | 25        |                            |
| AB               | 4,718,665    | 01/1988 | Airy et al.         | 272   | 132       |                            |
| AC               | 5,013,037    | 05/1991 | Stermer             | 272   | 132       |                            |
| AD               | 5,788,618    | 04/1998 | Joutras             | 482   | 114       |                            |
| AE               |              |         |                     |       |           |                            |
| AF               |              |         |                     |       |           |                            |
| AG               |              |         |                     |       |           |                            |
| AH               |              |         |                     |       |           |                            |
| AI               |              |         |                     |       |           |                            |
| AJ               |              |         |                     |       |           |                            |
| AK               |              |         |                     |       |           |                            |

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| AM |              |      |         |       |           |                    |
| AN |              |      |         |       |           |                    |

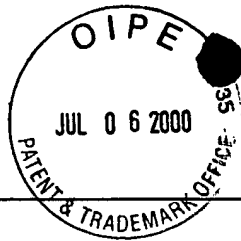
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|---------------------|-----------------|-----------|----------|------------------|--------------|-------------------------------|
| dp                  | AA              | 5,788,618 | 08-04-98 | Frank E. Joutras | 482          | 114                           |
| dp                  | AB              | 5,954,621 | 09-21-99 | Joutras et al.   | 482          | 114                           |
| dp                  | AC              | 5,980,435 | 11-09-99 | Jourtas et al.   | 482          | 114                           |
|                     | AD              |           |          |                  |              |                               |
|                     | AE              |           |          |                  |              |                               |
|                     | AF              |           |          |                  |              |                               |

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|----|----|--------------|----------|---------|-------|--------------|-----------------------|
| dp | AG | WO 95/01769  | 07-08-94 | PCT     |       |              |                       |
|    | AH |              |          |         |       |              |                       |
|    | AI |              |          |         |       |              |                       |

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